



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Title:** "A Tamper-Resistant Trusted Java Virtual Machine and Method of Using the Same"

**Applicants:** Hongxia Jin, et al.

**Attorney Docket No.:** ARC920030051US1

**Serial No.:** 10/723,725

**Examiner:**

**Filed:** November 26, 2003

**Art Unit:** 2131

Mail Stop: Patent Applications  
Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**WITHIN THREE MONTHS OF FILING OR BEFORE**  
**MAILING OF FIRST OFFICE ACTION (37 CFR 1.97(b))**

Sir:

Applicants submit herewith Form PTO-1449A listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of Form PTO-1449A be returned to the undersigned.

This Disclosure Submission Statement should not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that the citations constitute prior art.

It is believed that this Disclosure Submission Statement complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, Sections 609 and 707.05. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each cited non-U.S. patent/application is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings.

Date: May 12, 2004

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Respectfully submitted,

A handwritten signature in black ink, appearing to read 'S. Kassatly', with a long horizontal flourish extending to the right.

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Samuel A. Kassatly  
Attorney for Applicants  
Reg. No. 32,247

Attachment

Form PTO-1449 (modified)

Attorney Docket No.  
SVL920020051S1

Serial No.

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S)' INFORMATION DISCLOSURE  
STATEMENT

Applicants:

Hongxia Jin, et al.

(Use several sheets if necessary)

Filing Date:

November 26, 2003

Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	2002/0194482A1	12.19.2002	Griffin et al.	713/176	06.18.2002
	5,920,861	07.06.1999	Hall et al.	707/9	02.25.1997
	6,092,147	07.18.2000	Levy et al.	711/6	04.15.1997
	5,692,047	11.25.1997	McManis	380/4	12.08.1995
	6,067,575	05.23.2000	McManis et al.	709/300	12.08.1995
	5,917,912	06.29.1999	Ginter et al.	713/187	01.08.1997

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. SVL920020051S1	Serial No.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicants: Hongxia Jin, et al.	
(Use several sheets if necessary)	Filing Date: November 26, 2003	Group Art Unit:

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document No.	Date	Country	International Class / Subclass	Translation Yes No

**PUBLICATIONS / OTHER ART**

Examiner Initials	Citation
	Dan Wallach et al., "Extensible Security Architectures for Java," ACM 1997
	Proceedings of the Sixth International Conference on Computer Supported Cooperative Work in Design, July 12-14, 2001, London, Ontario, Canada, pp 330-337.
	Extensible security architectures for Java, available on July 2, 2003, at: <a href="http://portal.acm.org/citation.cfm?id=266668&amp;coll=ACM&amp;dl=ACM&amp;CFID=11120545&amp;CFT{...">http://portal.acm.org/citation.cfm?id=266668&amp;coll=ACM&amp;dl=ACM&amp;CFID=11120545&amp;CFT{...</a>
	Mat Bishop, "Analyzing the Security of an Existing Computer System," IEEE 1986

**Examiner****Date Considered**

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